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June 16, 2009

CHANCELLORS
DIRECTOR--LAWRENCE BERKELEY NATIONAL LABORATORY
EXECUTIVE VICE PRESIDENT--BUSINESS OPERATIONS
VICE PRESIDENT--AGRICULTURE AND NATURAL RESOURCES

## **Delegation of Authority--Approval of Project Design**

On September 18, 2008, the Regents amended the <u>Policy on Approval of Design, Long Range Development Plans, and the Administration of the California Environmental Quality Act</u>. The policy statement on design approval provides in part:

\* \* \*

- (1) The Regents designate the following categories of projects as requiring design approval by the Committee on Grounds and Buildings:
  - a. Except as provided in subparagraph (c) [below], building projects with a total project cost in excess of \$10,000,000, except when such projects consist of the following:
    - i. Alterations or remodeling where the exterior of the building is not materially changed;
    - ii. Buildings or facilities located on agricultural, engineering, or other field stations; or
    - iii. Agriculture related buildings or facilities located in areas of a campus devoted to agricultural functions.
  - b. Capital improvement projects of any construction cost when, in the judgment of the President, a project merits review and approval by The Regents because of budget matters, fundraising activities, environmental impacts, community concerns, or other reasons.
  - c. Building projects on campuses approved by the Committee on Grounds and Buildings for inclusion in the *Pilot Phase of Process Redesign for Capital Improvement Projects* with a total project cost in excess of \$60,000,000, subject to the same exclusions as subparagraph (a). This subparagraph shall become inoperative and is repealed on March 31, 2010, unless a later Regents' action, that becomes effective on or before March 31, 2010, deletes or extends the date on which it becomes inoperative and is repealed.

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<u>Delegation for project costs not exceeding \$5,000,000</u>: Effective immediately, I delegate authority in their respective areas of responsibility to Chancellors, the Director of the Lawrence Berkeley National Laboratory, the Executive Vice President--Business Operations, and the Vice President--Agriculture and Natural Resources to approve the design for projects with a total individual project cost not exceeding \$5,000,000. Any redelegation of this authority shall be in writing with copies to the General Counsel and Vice President for Legal Affairs and the Universitywide Policy Office.

<u>Delegation for project costs in excess of \$5,000,000 and up to \$10,000,000</u>: Effective immediately, I delegate to the Executive Vice President—Business Operations the President's authority to approve the design for projects with a total individual project cost in excess of \$5,000,000 and up to \$10,000,000. Any redelegation of this authority shall be in writing with copies to the General Counsel and Vice President for Legal Affairs and the Universitywide Policy Office.

<u>Pilot Phase of Process Redesign for Capital Improvement Projects ("Pilot Process")</u>: For those capital projects approved by the Committee on Grounds and Buildings for inclusion in the *Pilot Process*, effective immediately

Chancellors are delegated the authority to approve the design for projects with a total individual cost not exceeding \$60,000,000. The authority under this paragraph shall not be redelegated.

The foregoing delegations of authority are applicable to all projects on property owned by the Regents or on property which will be owned by the Regents upon completion of the project. This authority shall not extend to any project which the President believes merits review and approval by the Regents because of budget matters, fundraising activities, environmental impact, community concerns, or other reasons. Total individual project cost shall be defined as all costs of the project including administrative, design, construction, interest accrued during construction, and equipment costs.

Exercise of this authority shall be in accordance with guidelines established by the Regents and the President, as they may be amended from time to time. These shall include and are not limited to the following reporting and accountability requirements: (a) a project data report, reporting adherence to plans and aggregated performance on specific metrics of Regental and Presidential interest; (b) a physical environment review, reviewing implementation of the Physical Design Framework through regular visits, and by a self-assessment survey of faculty, students, and staff; and (c) an audit, monitoring the factual validity of information reported throughout the process, along with Regental and Presidential policy compliance.

This delegation supersedes the February 26, 2003 delegation of authority to Chancellors, the Director--Lawrence Berkeley National Laboratory, the Senior Vice President--Business and Finance, and the Vice President--Agriculture and Natural Resources (DA 2136), the portion of the April 5, 2006 delegation of authority to the Vice President for Budget (DA 2160), and the June 11, 2007 delegation of authority to the Executive Vice President -- Business Operations (DA 2184).

[original signed by M. Yudof]

Mark G. Yudof President

cc: Members, President's Cabinet
Principal Officers of the Regents
Vice President -- Budget and Capital Resources
Associate Vice President -- Budget Operations
Associate Vice President -- Capital Resources Management
Universitywide Policy Office